S	Ľ	c	СТ	4	OF

. `	RMATION DISCLOSURE STATE	MENT	APPLICANT				
JUL	SEVERAL SHEETS IF NECESS	ARY)	Gene H. Haertling FILING DATE	GROUP			
\$ 1940 Cive	· · · · · · · · · · · · · · · · · · ·		June 26, 2001	2873			
· <u></u> ·			U.S. PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING	G DATE
FAIL	6,288,822	09/11/01	Romanovsky	359	245		
FIEL	6,297,899	10/02/01	Romanovsky	359	245		-
FM	6,486,996	11/26/02	Romanovsky	391	245		
7/12	6,614,574	09/02/03	Romanovsky	339	247		
EAST	2002/0181067	12/05/02	Romanovsky, et al. (5N 10/0/3 336)	369	245		
				ļ			
				-			
							-
				4			
			FOREIGN PATENT DOCUMENTS				
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
INITIAL						YES	МО
EXAMINER INITIAL	ОТН	IER DOCUME	NTS (INCLUDING AUTHOR, TITLE, DATE, PERTINEN	T PAGES, I	ETC.)		
						}	
							
1:\DOCS\MJG\N 63004	IJG-6375.DOC:ad	2					
EXAMINER	1000		DATE CONSIDERED 4-	13-6			

INESTMATIO

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO. TOPTICS.018A APPLICATION NO. 09/891,689

INTERMATION DISCLOSURE STATEMENT
BY APPLICANT
BY ESEVERAL SHEETS IF NECESSARY)

APPLICANT
Gene H. Haertling

FILING DATE June 26, 2001 GROUP 2873

	U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
Sall	1.	4,201,442	05/1980	McMahon et al.	<i>3</i> 85	17	
PIZ.	2.	4,796,982	01/1989	Kitabatake et al.	359	318	
FALL	3.	4,993,811	02/19/91	Blazey et al.	369	251	
700	4.	5,011,271	04/30/91	Saito et al.	359	359	
TAIL	5.	5,016,959	05/1991	Diemeer	389	16	
Tall	6.	5,745,280	4/1998	Kitano	359	290	
SOL	7.	5,369,718	11/1994	Kamata et al.	385	21	
SAL	8.	5,911,018	6/1999	Bischel et al.	<i>3</i> 85	16	

			FOREIGN PATENT DOCUMENTS				
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
INITIAL						YES	NO
FAZ 9.	0 344 857 A1	05/1989	Europe	\sim			

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
M	10.	Antiferroelectric-Phase PLZT For Use In High Density Optical Data Storage, S. Mancha, J. Bullington, R. Carter and C. Dehainaut, Airforce Weapons Laboratory (AFSC) Kirtland Airforce Base New Mexico, Ferroelectrics, 1988 Gordon and Breach Science Publishers S.A., Vol. 82, pp. 99-104.
7017	11.	Crystallization of Lanthanum-Modified Lead Zirconate Titanate (PLZT) Using Coprecipitated Gels, Yao-Jung Lee, Fu-Su Yen, Jong-Ping Wu and Hsing-I Hsiang, Jpn. J. Appl. Phys., Vol. 34, Pt. 1, No. 8A, August 1995, pp. 4137-4142.
SOIT	12.	Crystallization of Silicon on Electro-Optic PLZT by a Laser Beam Modulated in Shape and Intensity Profile, T.H. Lin, M.L. Burgener, S.C. Esener and S.H. Lee, Mat. Res. Soc. Symp. Proc., Vol. 74, 1987, pp. 135-140.
SAG	13.	Dielectric Properties of (111) and (100) Lead-Zirconate-Titanate Films Prepared by Sol-Gel Technique, K. Aoki et al., Jpn. J. Appl. Phys., Vol. 33,(1994) Pt. 1, No. 98, pp. 5155-5158.
TACK	14.	Effects of O3 on Growth and Electrical Properties of Pb(Zr, Ti)O3 Thin Films by Photoenhanced Metalorganic Chemical Vapor Deposition, Masaru Shimizu et al., Jpn. J. Appl. Phys., Vol. 33(1994) Pt. 1, No. 9B, pp. 5135-5138.
PAY	15.	Electric and Optical Properties of PLZT Ceramic Shutter Array, Y. Takubo et al., Jpn. J. Appl. Phys., Vol. 24 (1985) Supplement 24-3, pp. 159-161.
SAL	16.	Fabrication of Transparent PLZT Ceramics by Atomsphere Sintering, Katsuhiko Tanaka et al., Japanese Journal of Applied Physics, Vol. 24 (1985), Supplement 26-3, pp. 107-109.
EAZ	17.	Fabrication of Transparent PLZT Ceramics with a High Transmittance and Their Application to Optical Light Shutter, Kunihiko Hayashi, et al., Proceedings of the 6th Meeting on Ferroelectric Materials and Their Applications, Kyoto 1987, Japanese Journal of Applied Physics, Vol. 26 (1987) Supplement 26-2, pp. 126-128.
SOL	18.	High Speed Optical TIR Switches Using PLZT Thin-Film Waveguides on Sapphire, Hidetaka Higashino, Takao Kawaguchi, Hideaki Adachi, Toshihiko Makino and Osamu Yamazaki, Proceedings of the Sixth International meeting on Ferroelectricity, Kobe, 1985, Jpn. J. Appl. Phys. Vol 24 (1985) Suppl. 24-2, p. 284-286.

EXAMINER	Alter	DATE CONSIDERED	4-	13-05

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 608; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

SHEET 2 OF 2

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

0 7 200 FORMATION DISCLOSURE STATEMENT BY APPLICANT

SE SEVERAL SHEETS IF NECESSARY)

APPLICANT Gene H. Haertling

ATTY. DOCKET NO. TOPTICS.018A

FILING DATE June 26, 2001 **GROUP** 2873

XAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
FAIZ	19.	Optical Switch Utilizing Total Reflection of (Pb, La) (Zr, Ti)O ₃ Ceramics, Toshio Utsunomiya, Jpn J. Appl. Phys. Vol. 33 (1994) pp. 5440-5442 Part 1, No. 9B, September 1994.
M	20.	Optical TIR Switches Using-Pt-ZT-Thin-Film Waveguides on Sapphire, Kiyotaka Wasa et al., <u>Journal of Lightwave Technology</u> , Vol. LT-2, No. 5, pp. 710-713, October 1984.
PAL	21.	A (Pb, La)(Zr, Ti)O3 (PLZT) Polarization-Plane with a Buried Electrode Structure for a Mid-Infrared Electro-Optical Shutter, Yoshiharu Taniguchi, Kensuke Murakami, Hiroshi Kobayashi and Shosaku Tanaka, Jpn. J. Appl. Phys., Vol. 36 (1997) Pt. 1, No. 5A, pp. 2709-2714.
ML	22.	PLZT Electrooptic Shutter, K. Tanaka et al., Jpn. J. Appl. Phys., Vol. 22 (1983) Supplement 22-2, pp. 126-128.
M	23.	The Polarization-Reversal Characteristics of Pb(Zr, Ti)O ₂ -Family Ceramics, Y. Masuda et al., Proceedings of the 4 th Meeting on Ferroelectric Materials and Their Applications, Kyoto 1983, Jpn. J. Appl. Phys., Vol. 22 (1983) Supplement 22-2, pp. 118-122.
M	24.	Preparation and Characterization of Sol-Gel Derived Epitaxial and Oriented Pb(Zr _{0.52} Ti _{0.48})O ₃ Thin Films, Keiichi Nashimoto and Shigetoshi Nakamura, Jpn. J. Appl. Phys., Vol. 33 (1994) Rt. 1, No. 9B, pp. 5147-5150.
PH	25.	Preparation of Pb(Zr, Ti)O ₃ Thin Films by Sol-Gel Technique, Tomoyasu Takusagawa, Noriaki Yamada, Terumasa Kato, Hajime Hattori and Teruyuki Matsui, Jpn. J. Appl. Phys. Vol. 33, Pt. 1, No. 98, 1994, pp. 5151-5154.
PAG	26.	Prism-Type Optical Deflector Using PLZT Ceramics, Toshio Utsunomiya et al., <u>Japanese Journal of Applied Physics</u> , Vol. 24, (1985) Supplement 24-3, pp. 169-171.
POL	l	Uniform Ultra-Thin Pb(Zr, Ti)O3 Films Formed by Metal-Organic Chemical Vapor Deposition and Their Electrical Characteristics, Hiroshi Miki and Yuzuru Ohji, Jpn. J. Appl. Phys., Vol. 33 (1994) Pt. 1, No. 9B, pp. 5143-5146.
	20.	(Co-pending) LLS_Patent Application No-10/048,336 (Atterney-Docket No-TOPTICS-8846P2) See US PG Pub 2002/01.

H:\DOCS\ADB\ADB-1657.DOC 083104

EXAMINER

DATE CONSIDERED